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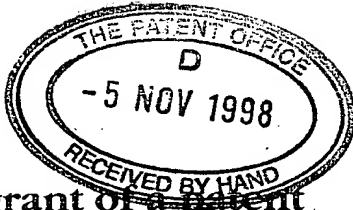
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Patents ADP number (if you know it)	03998564001		
If the applicant is a corporate body, give the country/state of its incorporation	UNITED KINGDOM		
4. Title of the invention	METHOD FOR PRODUCING DENDRITIC CELLS		
5. Name of your agent (if you have one)	STEVENS HEWLETT & PERKINS 1 Serjeants' Inn Fleet Street LONDON EC4Y 1LL		
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Abstract

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I/We request the grant of a patent on the basis of this application.

*Stevens, Hemlett & Percin*  
Signature

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Agents for the Applicant

12. Name and daytime telephone number of  
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## METHOD FOR PRODUCING DENDRITIC CELLS

5 The invention relates to a method for the production of dendritic cells from embryonic stem cells and to the dendritic cells so produced. The invention also relates to genetically modified embryonic stem cells and their use in the production of genetically modified dendritic cells; to methods for investigating dendritic cells; and to methods for investigating the function of mammalian genes.

10

### Background to the Invention

#### *The Role of Dendritic Cells in the Immune Response*

15 Dendritic cells (DC) constitute a trace population of leukocytes, originating from the bone marrow but distributed widely throughout most organs of the body, with the possible exception of the brain [Steinman 1991; Banchereau & Steinman, 1998]. The function of DC is largely dependent on their state of maturation, which varies according to their local microenvironment. DC resident within interstitial tissues, such as  
20 the Langerhans cells of the skin, are predominately immature, forming a network of cells adapted to the acquisition of foreign antigens following a local microbial challenge.

To perform such a sentinel function, immature DC are competent phagocytes, taking up whole microorganisms and apoptotic  
25 cells for processing [Albert *et al.*, 1998a], as well as soluble protein antigens by the endocytic route. Such activity betrays the close lineage relationship between DC and macrophages; indeed the classical DC first described by Steinman and colleagues [1973] are now known to be derived from myeloid progenitors, in common with members of the  
30 reticuloendothelial system. What distinguishes DC from macrophages, however, is the nature of their response to an encounter with antigen at a primary site of infection. Inflammatory stimuli, such as the local release of

interferon- $\gamma$  or lipopolysaccharide, induce the maturation of DC precursors [De Smedt *et al.*, 1996; Cella *et al.*, 1997], causing them to lose the ability to acquire further antigens but inducing their migration via the draining lymphatics, to the secondary lymphoid organs [Austyn & Larsen, 1990].

5 Here they adopt a stimulatory role, presenting the cargo of antigens they acquired *in situ*, to the repertoire of naive T cells. Their ability to activate T cells that have never before encountered antigen, is a property unique to DC and is a function of the co-stimulatory molecules they express upon maturation, of which CD40, ICAM-1 (CD54), B7-1 (CD80) and B7-2 (CD86)  
10 are the best characterized. Furthermore, their propensity to induce a Th1 phenotype among the T cells which respond is due largely to the secretion of cytokines such as IL-12 and IL-18 [Cella *et al.*, 1996; Koch *et al.*, 1996].

Because of their unrivalled ability to stimulate naive T cells *in vivo*, all immune responses, whether protective or pathogenic, are initiated  
15 upon the recognition of antigen presented by DC. Consequently, the potential for modulating the outcome of an immune response by harnessing the function of DC has aroused widespread interest. Indeed, their potential has been successfully exploited in a number of laboratories for enhancing an otherwise inadequate immune response to tumour-specific antigens,  
20 resulting in efficient tumour regression [Mayordomo *et al.*, 1995; Celluzzi *et al.*, 1996]. Furthermore, by providing immature DC with a source of chlamydial antigens, Su and colleagues have been able to successfully immunize mice against subsequent infection with *Chlamydia* [Su *et al.*, 1998], illustrating their likely usefulness in programs of vaccination against  
25 infectious agents that have proven difficult to eradicate using conventional strategies.

Over the past few years, the study of immunology has been revolutionized by the discovery that DC may present antigen not only for the purpose of enhancing cell-mediated immunity, but also for the induction  
30 of self-tolerance [Finkelmann *et al.*, 1996; Thomson *et al.*, 1996]. This contention has been supported by the characterization of a second lineage of DC derived from a lymphoid progenitor in common with T cells [Wu *et*

*al.*, 1997; Shortman & Caux, 1997]. These cells share with 'myeloid DC' the capacity to acquire, process and present antigen to T cells but appear to induce unresponsiveness among the cells with which they interact, either by preventing their expansion through limiting IL-2 release [Kronin *et al.*, 5 1996], or provoking their premature death by apoptosis [Süss & Shortman, 1996]. In this respect, lymphoid DC have been reported to constitutively express Fas-ligand which induces cell death among cells expressing its counter-receptor, Fas. These findings have raised the additional prospect of further harnessing the properties of DC to down-modulate detrimental 10 immune responses, such as those involved in autoimmune disease and the rejection of allografted tissues.

In spite of the promise DC hold for exploitation in a therapeutic setting, a number of less-desirable properties of DC have consistently limited progress. Firstly, although it is the immunogenic and 15 tolerogenic function of mature DC which is most amenable to immune intervention, DC exhibit a short life span once terminally differentiated. This has made the prospect of genetic modification of DC less attractive since any benefits gained are necessarily short-lived. Furthermore, primary DC are peculiarly resistant to transfection, confounding most attempts to 20 stably express heterologous genes; indeed the best protocol currently available involves the use of mRNA instead of cDNA for transfection purposes, creating, at best, a transient expression system [Boczkowski *et al.*, 1996]. Although many groups have attempted to circumvent some of these difficulties by generating stable DC lines, the results have been 25 universally disappointing, most putative lines being either retrovirally transformed [Paglia *et al.*, 1993; Girolomoni *et al.*, 1995; Volkmann *et al.*, 1996] or incapable of progressing beyond an immature state [Xu *et al.*, 1995]. Thus none of these provides a useful, renewable source of DC or one that can be genetically manipulated.

### *Embryonic Stem Cells and their Differentiation*

Embryonic stem (ES) cells are derived from the epiblast of advanced blastocysts. The epiblast cells contribute to all cell types of the developing embryo, rather than the extra-embryonic tissues. Individual ES cells share this totipotency but may be maintained and propagated in an undifferentiated state by culturing them in recombinant leukaemia inhibitory factor (rLIF) [Smith *et al.*, 1988], or on a monolayer of embryonic fibroblasts which may act as a potent source of this or related cytokines. Although ES cells may be propagated for a few passages in LIF, for long term culture, fibroblast feeder cells are preferred since ES cells maintained indefinitely in rLIF may lose their differentiation potential.

Unlike primary cultures of DC, ES cells are particularly amenable to genetic modification since they survive even the most harsh conditions for the introduction of foreign DNA, including electroporation. Consequently, ES cells have been used extensively over recent years for the production of transgenic mice and for gene targeting by homologous recombination. Indeed, by introducing a null mutation into selected genes, it has proven possible to generate 'knockout' mice, congenitally deficient in expression of specific molecules [Fung-Leung & Mak, 1992; Koller & Smithies, 1992].

The ability of ES cells to contribute to all lineages of the developing mouse, once reintroduced into recipient blastocysts, is a property which has also proven useful *in vitro* for the study of lineage relationships [Snodgrass *et al.*, 1992; Keller 1995]. Indeed, a variety of protocols has been devised to encourage differentiation of ES cells along specific pathways. To date, there have been reports of the emergence of cell types as diverse as cardiac muscle, endothelial cells, tooth and neurons [Fraichard *et al.*, 1995; Li *et al.*, 1998]. In addition, differentiating ES cells have been shown to engage in the development of haematopoietic stem cells [Palacios *et al.*, 1995] with the potential to differentiate into erythrocytes, macrophages, mast cells [Wiles & Keller, 1991; Wiles, 1993]



and lymphocyte precursors of both the T and B cell lineages [Gutierrez-Ramos & Palacios, 1992; Nisitani *et al.*, 1994; Potocnik *et al.*, 1997].

### The Invention

5                   It has now been discovered that DC can be generated by culturing ES cells under certain conditions, more specifically in the presence of IL-3 and optionally GM-CSF. Despite the many studies of haematopoiesis following ES cell differentiation *in vitro*, the appearance of primary DC (i.e. DC not passaged in culture in their own right) has not  
10                   previously been reported. Surprisingly, while IL-3 has been used in a number of studies, either alone or in combination with GM-CSF, to induce haematopoiesis within developing embryoid bodies [Wiles & Keller, 1991; Keller, 1995] no DC development has been reported, although a clear effect on erythropoiesis and the development of macrophages and mast  
15                   cells was routinely observed.

                  The new findings provide a novel approach to genetic modification of DC which makes use of ES cell differentiation *in vitro*. In particular, stable lines of genetically modified ES cells can be used to generate mutant DC on demand.

20                   The invention therefore provides in one aspect a method for producing dendritic cells which method comprises:

- i)           providing a population of embryonic stem cells;
- ii)          culturing the embryonic stem cells in the presence of a cytokine or combination of cytokines which bring about differentiation of the  
25           embryonic stem cells into dendritic cells; and
- iii)       recovering the dendritic cells from the culture.

                  A cytokine which has been found to be of critical importance in the generation of DC from ES cells *in vitro* is IL-3. In the presence of IL-3 alone DC develop which exhibit the characteristics of lymphoid rather  
30           than myeloid DC.

On the other hand, in the presence of a combination of IL-3 and GM-CSF, larger populations of DC appear which represent DC of myeloid origin.

Thus, the invention is concerned with the production of lymphoid-type and myeloid-type DC under different conditions.

The invention is also concerned with ES cells which are genetically modified and which can pass on the genetic modification or modifications to the resulting DC. Thus, the method according to the invention may employ genetically modified ES cells.

The invention also provides dendritic cells produced by the methods described herein, and genetically modified ES cells useful in the methods described herein including ES cells in which a gene normally expressed in dendritic cells is inactivated, and ES cells transfected with a construct comprising a promoter which is preferentially active in dendritic cells.

In another aspect, the invention provides a method for investigating a mammalian gene, which method comprises generating a test population of dendritic cells from a population of embryonic stem cells and comparing the test dendritic cells to a population of control dendritic cells which differ from the test dendritic cells in respect of the gene.

In the attached figures:

Figure 1 shows phase-contrast micrographs of ES cell derived DC (esDC);  
Figure 2 shows electron micrographs of esDC cultured in GM-CSF and IL-3;

Figure 3 shows surface phenotype of esDC grown in GM-CSF and IL-3 assessed by flow cytometry;

Figure 4 shows immunostimulatory activity of esDC in an allogeneic mixed leukocyte reaction;

Figure 5 shows IL-2 secretion by T cells in response to antigen presentation by esDC, and inhibition of IL-2 secretion by a mAb to MHC class II;

Figure 6 shows immunostimulatory activity by myeloid and lymphoid esDC;

Figure 7 shows antigen processing activity of myeloid and lymphoid esDC.

The source of IL-3 and GM-CSF for use in the invention is not critical; either or both may be provided for example in pure recombinant form, or secreted from a cell line transfected with the gene and expressing the recombinant protein. In the latter case, tissue culture supernatant from  
5 the cell line may be used.

So far as concentration is concerned, in the presence of murine IL-3 alone murine DC will develop in concentrations as low as 40 U/ml, although 5,000 U/ml is optimal. In practice a concentration of  
10 about 1,000 U/ml may be preferable since it is economically more viable and there is still good colony growth of DC at that concentration.

For ES cells in the presence of IL-3 together with GM-CSF, some synergy between the two cytokines may occur. The cell surface receptors for IL-3 and GM-CSF have a common  $\beta$ -chain and therefore quite  
15 possibly share some of the same cell signalling mechanisms.

An optimum level of murine GM-CSF for development of murine DC is about  $30 \pm 5$  ng/ml. At that level there is receptor saturation. However, GM-CSF at a concentration as low as 0.1 ng/ml stimulates the production of trace numbers of DC in the presence of 1,000 U/ml IL-3.

20 Important for the development of DC from ES cells is the formation of embryoid bodies, which are preferably in liquid suspension culture rather than in any semi-solid matrix. It is preferable that embryoid bodies are free-floating for differentiation to proceed optimally.

Embryoid bodies are formed from ES cells which have been removed from the inhibitory effects of LIF. The cells proliferate to form  
25 clusters of viable cells, each of which represents an embryoid body and can comprise differentiated or partially differentiated cells of a variety of cell types.

In a particular embodiment of the method according to the invention, embryoid bodies are plated onto tissue culture dishes and  
30 exposed to the appropriate cytokine or combination of cytokines to promote development of DC. The embryoid bodies adhere to the surface and give

rise to colonies of stromal cells which migrate outwards. After a few days DC develop around the periphery, presumably from early haematopoietic stem cells present in the embryoid bodies. DC which develop in this way can be harvested in substantially pure form, normally with less than 10% contaminating cell types, e.g. about 5 to 10% contaminating cell types.

Prior to the formation of embryoid bodies, the ES cells are routinely maintained in an undifferentiated state in the presence of LIF. The LIF is generally provided at this stage in pure recombinant form. However, for maintenance of ES cells in long term culture prior to the formation of embryoid bodies, LIF is preferably provided by culturing the ES cells in the presence of fibroblast feeder cells which secrete LIF and other cytokines.

ES cells for production of DC in the method according to the invention may conceivably be derived from any appropriate mammalian source. Illustrated herein are murine ES cells and DC, but it will be clear that the invention is not necessarily limited to murine cells. ES cells from certain mouse strains are found to be permissive for DC development, while ES cells from other strains are not. However, it will also be clear that the invention is not limited to those permissive strains disclosed herein since it is a straightforward matter to prepare ES cells from other strains and test them for their competence in differentiating into DC.

The apparent inconsistency between the results presented herein and previous studies using ES cells in which no DC were produced or recovered, may reflect a variety of possible factors. These include differences in the protocols employed, an inability in previous studies to identify any resulting DC, and strain differences in the propensity of ES cells to support DC development. In support of the latter possibility, initial studies on the CBA/Ca cell line ESF116 were repeated using a second CBA/Ca line generated in-house (ESF99) and one from 129/Sv mice which is widely used for gene knockout technology and which is commercially available (D3). Interestingly, while ESF99 supported the development of esDC, albeit to a lesser extent than ESF116, D3 failed entirely to do so

under the same culture conditions. ES cells generated from other strains can easily be tested for their ability to support development of DC by using the protocols described herein. An additional example of a mouse strain from which ES cells have been shown to support development of DC is  
5 C57Bl/6 (ESF75).

Certain applications of the invention are discussed in more detail below and in the Examples which follow. It will be clear that the invention is not limited to the specific embodiments described herein. In particular, the genetic manipulation of the ES cells may be in any manner  
10 which results in any useful DC phenotype.

Uses of the present invention extend to the fields of tumour immunotherapy and vaccination against infectious agents. Examples include transfection of the parent ES cells with genes encoding tumour-specific antigens or candidate microbial antigens against which a protective  
15 immune response is desirable. The endogenous expression of whole protein antigens in this way may harness the potent antigen processing capacity of DC to select the most appropriate epitopes for presentation on both class I and class II MHC, effectively by-passing the need for laborious identification of the epitopes involved. Furthermore, co-transfection of such  
20 cells with genes encoding FLIP (accession number: U97076) or bcl-2 (accession number: M16506) may prolong the life-span of esDC administered *in vivo*. Both molecules have been shown to exert a protective effect, actively interfering with the apoptotic pathways which normally limit DC survival, but in a manner that does not induce their  
25 transformation [Hockenbery *et al.* 1990]. By having their lifespan prolonged in this way, esDC presenting foreign or tumour-specific antigens may provide a chronic stimulus to the immune system. As an additional advantage, the need for adjuvants for the mounting of a powerful protective immune response may be reduced or removed.

30 The potential for generating lymphoid DC, thought to be important in the maintenance of peripheral self-tolerance, may be exploited in the treatment of autoimmune disease which is characterized by loss of

the tolerant state. Certain animal models for autoimmune disease will be useful in investigating the possibilities for treatment. Recently, Goulet and co-workers [1997] reported the isolation of ES cells from the MRL mouse strain susceptible to autoimmunity and demonstrated their germline competence. Such cells may prove useful for the production of esDC of the correct genetic background to permit the development of strategies for immune intervention. Alternatively or additionally, ES cells established from the diabetes-prone NOD mouse could provide useful DC for assessing the potential for immune intervention. A successfully produced ES cell line could be transfected with GAD-65 (accession number: L16980), an autoantigen known to be involved in the aetiology of insulin-dependent diabetes mellitus (IDDM), and induced to differentiate along the lymphoid route. Upon administration *in vivo*, such cells may actively seek out and tolerize T cells specific for the autoantigen, thereby limiting the extent and progression of tissue damage. Furthermore, by introducing the whole gene encoding GAD-65, all potential epitopes will be presented to the T-cell repertoire, overcoming problems associated with intramolecular determinant spreading [Lehmann *et al.* 1993]. A similar procedure could be carried out for tolerizing to other autoantigens.

Recently, protocols have been published for the generation of ES cells in which *both* alleles of a gene have been targeted by homologous recombination, resulting in cells deficient in a given protein [Hakem *et al.*, 1998]. This provides an approach for altering DC function by knocking out candidate genes such as the p40 subunit of IL-12 (accession number: M86671) or the p35 subunit of IL-12 (IL-12 is a heterodimer and at least two genes are involved in its expression). Since this cytokine is fundamental to the establishment of a Th1 response, responding T cells may default to a Th2 phenotype in its absence. Given that Th1 and Th2 cells are mutually antagonistic and that the latter are frequently protective in inflammatory autoimmune conditions [Liblau *et al.* 1995], IL-12<sup>-/-</sup> esDC may prove effective in inducing immune deviation and modulating the outcome of an ongoing autoimmune response. Should the selection

criteria for production of knockout ES cells according to the published protocols prove to be too stringent, alternative approaches to prevent expression or activity of target molecules can be employed. Such approaches include for example antisense constructs, ribozymes or the expression of dominant negative forms of molecules, where available. A dominant negative form of a molecule is an altered e.g. mutated form which blocks the function of the endogenous form of the molecule, for example by binding in its place. Examples of all of these approaches are present in the literature.

#### *Identification of Novel Targets for Immune Intervention*

The approaches to immune intervention, outlined above, require prior knowledge of specific genes involved in the immune response and the function they perform. Nevertheless, only a small proportion of the genes that control DC function have been elucidated. The protocols for the development of DC from ES cells *in vitro* as described herein may, therefore, be exploited for the identification of novel targets for immune modulation which may ultimately prove useful in a clinical setting.

Several approaches to identifying new genes have recently been described, of which the serial analysis of gene expression (SAGE) is perhaps the most powerful [Valculescu *et al.*, 1995]. This methodology permits those genes that are actively expressed by two populations of cells to be compared in a differential manner. It may, therefore, be possible to compare gene expression in embryoid bodies from ESF116, known to support DC development, and those from D3 which fails to do so. Such an approach may define genes involved in the early stages of haematopoiesis which control development of the DC lineage. Alternatively, purified populations of myeloid and lymphoid DC may be compared to elucidate the genes responsible for converting an immunostimulatory DC to one capable of inducing self-tolerance.

While such an approach may highlight important new genes involved in the ontogeny and function of DC, there remains a significant

'gene-function gap', it being considerably easier to identify genes that contribute to a particular phenotype than to elucidate the function of the proteins they encode. As a way of addressing this deficiency, a number of laboratories have pioneered gene-trapping technology [Evans *et al.*, 1997] which seeks to trap genes in an unbiased way and provide the potential for identifying their function. To this end, Zambrowicz *et al.* [1998] have generated an 'Omnibank' of ES cells in which genes have been randomly targeted for inactivation. Using these cells, knockout mice may be generated which may be screened for specific defects which might betray the function of the targeted gene. Although the production of knockout mice is now well-established, the screening of large numbers of genes in this way remains an immense undertaking which is likely to be limited by the many logistical constraints. By combining gene trapping technology with our own approach and established readouts for antigen processing and immunostimulation, we may be able to screen rapidly many new genes to identify those that confer on DC their unique properties. This strategy may prove attractive to commercial organizations seeking to identify novel targets for the delivery of DC-specific drugs, so as to intervene in the very genesis of the immune response.

20

## EXAMPLES

### EXAMPLE 1

#### *Derivation and Maintenance of ES Cells from CBA/Ca Mice*

Although ES cells may be readily generated from 129/Sv and C57Bl/6 strains of mice, strains used more widely in immunological research, such as CBA/Ca and the diabetes-prone NOD mouse, have proven peculiarly resistant. Nevertheless, we have recently developed and published methods for their derivation from such strains [Brook & Gardner, 1997], one of which, ESF116, has been used extensively in these studies and was deposited with the Belgium Coordinated Collections of Microorganisms (BCCM<sup>TM</sup>), Universiteit Gent, Laboratorium voor Moleculaire Biologie – Plasmid Collection, K.L. Ledeganckstraat 35, B-



9000 Gent, Belgium on 29 July 1998 under Accession number LMBP 1668CB, under the terms of the Budapest Treaty. ESF116 is karyotypically male, forms chimeras upon injection into recipient blastocysts and has been found to transmit through the germline.

5                   The ESF116 cell line was isolated from an inbred CBA/Ca female mouse which had been ovariectomized bilaterally and given 1mg of Depo-Provera (Upjohn, UK) on the afternoon of the third day after mating with a male mouse of the same strain. Blastocysts arrested in development prior to implantation were recovered in standard HEPES-  
10   buffered medium on the morning of the 7th day post-ovariectomy. Each blastocyst was opened up with a pair of solid-tipped, siliconized glass microneedles mounted on Leitz micromanipulator units, which were used to tear open the mural trophectoderm. Once opened, the blastocysts were incubated for 24 min at 4°C in Ca<sup>2+</sup>- and Mg<sup>2+</sup>-free phosphate-buffered  
15   saline (PBS) containing trypsin at 5mg/ml (Difco) and pancreatin (Difco) at 25mg/ml. Blastocysts were subsequently returned to the micromanipulation chamber and the trophectoderm of each was opened out with the needles so that the outer surface of the tissue was spread against the coverslip of the chamber. Using one needle to hold the  
20   trophectoderm, the other was lowered from the trophectoderm and moved sideways against the exposed inner cell mass (ICM) so as to dislodge the superficial endoderm from the deep epiblast. Once the endoderm had been removed, the same needle was then raised until it made contact with the trophectoderm upon which it was moved sideways, so as to scrape the  
25   epiblast gently from the overlying trophectoderm [Gardner, 1985]. Both the initial opening of the blastocysts and their dissection was performed with the microscope stage cooled to 5°C. Once isolated, the epiblast tissue from each blastocyst was pipetted into the individual wells of a four-well tissue culture plate (Nunc), that had been seeded with mitotically-  
30   inactivated primary embryonic fibroblasts the previous afternoon and irrigated with fresh ES cell medium shortly before the epiblasts were explanted.

After culturing for 6 days, individual colonies were picked from the dish using a pulled pasteur pipette, dissociated in a drop of trypsin-EDTA and replated into a fresh well of feeder cells. The resulting colonies were cultured for a further 3 days before the contents were passaged into a 35mm dish containing mitotically-inactivated embryonic fibroblasts and labelled passage 1. The cells were further expanded into a 25cm<sup>2</sup> tissue culture flask after 2 days, passaged into two fresh flasks 2 days later and thereafter passaged consistently every 3 days.

For routine maintenance of the ESF116 cell line, a stock of embryonic fibroblast feeder cells was prepared from C57Bl/6 embryos excised at day 12-13 of gestation. Embryonic tissues, with the exception of the head and liver, were finely minced with a sterile surgical blade in PBS supplemented with 2.5% trypsin (Gibco) and 0.02% EDTA. After transferring to a universal tube, the suspension was placed in a waterbath at 37°C for 5 min and further dissociated by vigorous shaking. After standing for 5 min, undissociated tissues were found to sediment while unwanted lipids and fats rose to the air-liquid interface. The cell suspension between these two layers was harvested and transferred to a tube containing complete medium (DMEM supplemented with 10% FCS, 2mM L-glutamine and  $5 \times 10^{-5}$  M 2-mercaptoethanol) to halt the action of the trypsin. Three serial extractions were performed using trypsin-EDTA and the resulting cell suspensions pooled and pelleted in a bench-top centrifuge. The pellet was resuspended in complete medium and distributed equally among four 75cm<sup>2</sup> tissue culture flasks. After reaching confluency, the monolayers of fibroblasts were passaged into four 150cm<sup>2</sup> flasks to permit their expansion. Once confluent, the fibroblasts were harvested, resuspended in complete medium containing 10% DMSO, aliquoted into cryotubes and stored frozen under liquid nitrogen until required.

Before use as feeder cells for routine maintenance of ESF116, embryonic fibroblasts were mitotically inactivated by culturing for 2 hr in medium containing 10 µg/ml of mitomycin C (Sigma). The cells

were washed in PBS, harvested using trypsin-EDTA and distributed among 25cm<sup>2</sup> tissue culture flasks. The fibroblasts were incubated for at least two hours to allow them to adhere to the plastic and form a confluent monolayer. ESF116 cells were seeded onto the awaiting feeder layers by forming a single cell suspension using trypsin-EDTA and producing a range of dilutions in 'ES medium' consisting of DMEM supplemented with 15% FCS, 1mM sodium pyruvate, 2mM L-glutamine and  $5 \times 10^{-5}$  M 2-mercaptoethanol.

## EXAMPLE 2

### *Generation of DC from ESF116*

Prior to differentiation of ESF116 *in vitro*, the cells were cultured free from fibroblast feeder cells by passaging twice in succession in 12.5cm<sup>2</sup> flasks precoated with 0.1% gelatin in PBS to promote their adherence. Cells were maintained in an undifferentiated state during this period by the addition of 1000U/ml of rLIF to the culture medium. Since, under these conditions, the ESF116 cells expanded rapidly but the contaminating fibroblasts remained mitotically inert, the latter were eventually lost by serial dilution. Pure populations of ESF116 were subsequently harvested by trypsinization, washed and plated onto 90mm dishes of bacteriological plastic (Sarstedt) at a density of  $3-5 \times 10^5$  cells per dish in 20ml of complete medium lacking rLIF. Under these conditions, the ESF116 cells failed to adhere to the bacteriological plastic but remained in suspension where they continued to proliferate, forming embryoid bodies. These spheres continued to increase in size, becoming macroscopic at approximately day 4 of culture and adopting a cystic appearance by day 10-12. In the absence of exogenous LIF, the embryoid body has been shown to provide an ideal microenvironment in which differentiation proceeds in a manner and with the kinetics expected of the early mouse embryo [Keller *et al.*, 1993; Schmitt *et al.*, 1991].

After 14 days in culture, the embryoid bodies were transferred to a 50ml test tube and allowed to sediment under unit gravity before being

washed twice in fresh medium to remove unwanted debris. The embryoid bodies were plated at low density onto 90mm tissue culture dishes (Corning) in 20ml of ES medium further supplemented with 1000U/ml of recombinant murine IL-3 (R&D Systems) and 2% (v/v) tissue culture supernatant from the X63 cell line transfected with the murine gene encoding GM-CSF. The final concentration of GM-CSF was judged to be approximately 25ng/ml in bone marrow proliferation assays in which a standard dose-response curve had been constructed using recombinant GM-CSF.

After overnight culture at 37°C, a proportion of the embryoid bodies was found to adhere to the plastic and give rise to colonies of stromal cells, emigrating outwards in a radial fashion (Fig 1a). By approximately day 4 of culture, cells bearing distinctive DC morphology consistently appeared around the periphery of the stromal layer, the area of DC growth being sharply demarcated (Fig 1b-c). In this peripheral location, DC continued to proliferate and accumulate, eventually forming the large clusters (Fig 1d) characteristic of DC generated from bone marrow precursors. With time, DC were found to seed those areas of the dish still free of underlying stroma, where their dendritic morphology was particularly apparent (Fig 1e). Under transmission EM, these cells showed the ultrastructural features of early DC (Fig 2a); indeed the detection of cells which had apparently phagocytosed apoptotic cells from their local environment (Fig 2b) provides further circumstantial evidence of their identity as immature DC [Albert *et al.* 1998b].

ES cell-derived DC (esDC) could be harvested by gentle pipetting and isolated from unwanted debris by passage of the cell suspension over a 70µm cell filter (Falcon). Harvesting of esDC in this manner left intact much of the stromal layer which supported the growth of successive cohorts of DC: indeed, cultures have been maintained in our laboratory for at least 5 weeks, during which the cells were routinely harvested for use in experiments. This protocol therefore gives rise to

long-term cultures of DC, overcoming many of the difficulties encountered when isolating DC from primary tissues.

The requirement for GM-CSF in the generation of esDC is in accordance with universal findings of its involvement in the development of DC belonging to the myeloid lineage. What is surprising, however, is the inability of this cytokine to support DC development from ES cells in isolation, although GM-CSF alone is highly effective in the generation of large numbers of DC from bone marrow [Inaba *et al.*, 1992]. Furthermore, the need for IL-3 in DC ontogeny is unexpected, although not entirely without precedent. A trace population of CD4 positive T cells known as "plasmacytoid T cells" which have remained enigmatic for many years, have recently been shown to develop the characteristics of DC in the presence of interleukin-3 (IL-3) [Grouard *et al.* 1997]. IL-3 has been shown to sustain an early population of haematopoietic progenitor cells [Sonada *et al.*, 1988], upon which granulocyte macrophage colony stimulating factor (GM-CSF) may act to induce differentiation along the DC pathway: in the absence of this progenitor, GM-CSF appears ineffectual.

### EXAMPLE 3

#### 20 *Generation of DC from ESF116 without GM-CSF*

Whereas IL-3 is indispensable for the generation of esDC, the requirement for GM-CSF does not appear to be absolute. Embryoid bodies generated as described in Example 2 and plated onto tissue culture plastic in complete medium containing 1000U/ml of IL-3 alone, supported a trace population of cells, in the usual peripheral location, bearing dendritic morphology [Fig 1f]. These cells failed to accumulate to the numbers apparent in cultures described in Example 2 supplemented with GM-CSF and appeared with delayed kinetics after plating. These cells have a limited functional potential in conventional assays of DC activity (see below). Together with their ability to develop in the absence of GM-CSF, this suggests that these cells represent lymphoid rather than myeloid DC, since the lymphoid lineage is known to be independent of GM-CSF

[Saunders *et al.*, 1996]. Thus, we have succeeded in defining the conditions for growth of primary DC of myeloid origin and primary DC which exhibit characteristics of lymphoid DC, from ES cells *in vitro*.

## 5 EXAMPLE 4

### *Characterization of ES Cell-Derived Dendritic Cells*

#### 1. Phenotype

Although irregular morphology is a universal characteristic of DC, a novel source of cells cannot be unequivocally assigned to the DC  
 10 lineage without recourse to determining their surface phenotype and functional potential. We have, therefore, prepared esDC as described and analysed their expression of surface markers by flow cytometry. Using a panel of monoclonal antibodies, we have shown esDC to express high levels of CD44 (Fig 3a), indicative of their myeloid origin, and the presence  
 15 of low but reproducible levels of the co-stimulatory molecules B7-1 (Fig 3b) and ICAM-1 (Fig 3c). Although neither B7-2 nor CD40 could be detected at the cell surface (Fig 3d-e), analysis of mRNA by RT-PCR confirmed the presence of species specific for both molecules, as well as the DC-associated cytokines IL-12 and IL-18 (data not shown). Surprisingly, esDC  
 20 lacked surface expression of both the DC-specific marker, CD11c (Fig 3f), and MHC class II determinants (I-E<sup>k</sup>) (Fig 3g), a phenotype suggestive of their immature status.

#### 2 Activity

25 Given the potential for expression of many co-stimulatory molecules by esDC, we investigated their ability to stimulate a primary T cell response, a function which distinguishes DC from all other APC. Accordingly, incubation of esDC with purified naive T cells from allogeneic C57Bl/10 mice stimulated their proliferation in a dose-dependent fashion (Fig 4),  
 30 albeit with kinetics delayed by two days relative to mixed leukocyte reactions (MLRs) involving conventional sources of DC, such as the spleen and bone marrow. These findings were again suggestive of the immaturity

of esDC which appeared to require several days for the acquisition of an immunostimulatory phenotype.

Since immature DC may be distinguished from mature cells by their greater propensity for antigen processing and presentation, we next investigated the ability of esDC to process the classical foreign antigen, hen eggwhite lysozyme (HEL), for presentation to the T cell hybridoma, 2G7.1, known to be specific for the 1-18 peptide of HEL in the context of I-E<sup>k</sup> [Adorini *et al.*, 1993]. Incubation of esDC with 2G7.1 induced their activation in an antigen-dependent fashion, leading to the active release of IL-2 (Fig 5a). This activation was inhibited, either by the prior fixation of esDC with paraformaldehyde to prevent antigen up-take (Fig 5a), or by the addition of a monoclonal antibody specific for I-E<sup>k</sup> (clone 17-3-3S) (Fig 5b). These results suggest that, although immature at the time of harvesting, esDC are induced to mature and express class II MHC determinants upon interaction with T cells, similar requirements for T cell contact having been reported previously for the maturation of a DC line [Volkman *et al.*, 1996].

### 3. Lymphoid DC

We have investigated the functional phenotype of the putative lymphoid DC cultured in IL-3 alone. These cells are less capable of stimulating naive T cells in an MLR compared with esDC grown in a combination of GM-CSF and IL-3 (Fig 6). Furthermore, although they retain residual capacity to process and present HEL to the 2G7.1 hybridoma (Fig 7), they induce widespread apoptotic cell death of the responding T cells, far more than might be expected from the low level of activation indicated by IL-2 release. These data are consistent with the indications that DC cultured in IL-3 alone are closely allied to the lymphoid lineage, actively restricting T-cell responsiveness by initiating Fas-induced apoptosis.

## EXAMPLE 5

*Genetic Modification of esDC – Transfection of ESF116 with Green fluorescent Protein (GFP)*

The ability to generate long-term cultures of primary DC from  
 5 ES cells *in vitro*, provides unparalleled opportunities for the genetic  
 modification of DC for use in immunotherapy.

As a proof of concept, we have transfected ESF116 with an  
 expression vector containing GFP and a stable clone from which to  
 generate esDC will be selected. The generation of DC stably expressing  
 10 GFP will enable their migration patterns *in vivo* to be studied. The  
 mammalian expression vector pEGFP-N1, used in these experiments, is  
 commercially available (Clontech; Genbank Accession Number: U55762)  
 and contains a multiple cloning site designed to facilitate the production of  
 fusion proteins between heterologous proteins and EGFP at the N -  
 15 terminus. EGFP or fusion constructs are expressed under the control of  
 the immediate-early promoter of human cytomegalovirus (CMV). The  
 vector contains a neomycin resistance gene which provides kanomycin  
 resistance for drug selection in bacteria and G418 resistance for selection  
 of stably transfected mammalian cells. Prior to transfection of the ESF116  
 20 cells, the vector was linearized using a unique site for the ApaI restriction  
 endonuclease, located in the pUC ori region.

## EXAMPLE 6

*Transfection of ESF116 with GFP under the control of the CD11c promoter*

25 Since upregulation of CD11c occurs during the maturation of  
 DC, the expression of EGFP under the control of the CD11c promoter will  
 enable the conditions for their maturation to be investigated. In order to  
 prepare a suitable construct, the vector pBSCD11c $\beta$ glob was obtained.  
 This vector consists of an expression cassette composed of a 5.3kb  
 30 genomic fragment containing the murine CD11c promoter and a rabbit  $\beta$   
 globin fragment of approximately 1.2 kb providing an intron, all of which is  
 cloned into the pBSbluescript vector (Stratagene) [Kouskoff *et al.*, 1993,



Brocker *et al.*, 1997]. The resulting construct contains a unique EcoRI cloning site and a polyadenylation signal.

A ~1.8 kb PGKneopA cassette, consisting of the murine phosphoglycerate kinase-1 promoter and polyadenylation signals driving  
 5 expression of a neomycin resistance gene, was cloned into the XhoI blunt site of pBSCD11c $\beta$ glob to generate pBSCD11c $\beta$ glob (neo). This cassette provides G418 resistance for selection of stably transformed cells. The cassette was inserted downstream of the CD11c $\beta$ glob cassette to avoid any possibility of interference with the DC specificity of the CD11c promoter  
 10 elements, and was cloned in the same orientation as the CD11c $\beta$ glob cassette to avoid interference between the two and ensure that any run-through from the CD11c $\beta$ glob cassette will result only in increased neomycin resistance.

EGFP was subcloned from pEGFP-N1 as a BglII/NotI blunt  
 15 fragment to the EcoRI blunt site of the CD11c $\beta$ glob cassette in pBSCD11c $\beta$ glob (neo). The majority of the pEGFP-N1 multiple cloning site was retained to facilitate the future generation of heterologous proteins fused to the N-terminus of EGFP under the control of the CD11c $\beta$ glob expression cassette. XhoI and EcoRI are suitable cloning sites for the  
 20 generation of such fusion constructs. The vector may be linearized using NotI or XbaI, other potential linearization sites being Scal and XmnI in the pBS vector sequence.

## EXAMPLE 7

### 25 *Transfection of ESF116 with Fas-ligand gene*

Constructs were prepared for the introduction of the Fas-ligand gene (accession number: U58995) into ESF116 so as to generate DC constitutively expressing the protein. When administered to mice across an MHC barrier, these cells can be expected to attract naive T cells  
 30 specific for the alloantigens they present: on activation, however, such cells will be targeted for apoptosis by ligation of cell surface Fas. This strategy

may deplete an animal of alloreactive T cells allowing the subsequent acceptance of an organ allograft in spite of histoincompatibility.

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### Figure Legends

Figure 1: Phase-contrast micrographs of ES cell-derived dendritic cells. (a) Low power view of an embryoid body 24 hr after plating onto tissue culture plastic, showing the emigration of stromal cells in a radial fashion. (b-c) esDC developing around the periphery of a colony. Note the sharp demarcation between stromal cells supporting their development and those that fail to do so. (d) Appearance of clusters of esDC (arrows) similar to those apparent in cultures of mouse bone marrow. (e) esDC that have seeded areas of the dish uncolonized by stromal cells. (f) Cultures of putative lymphoid esDC maintained in IL-3 alone.

Figure 2: Electron micrographs of esDC cultured in GM-CSF and IL-3 showing typical DC morphology (a) and a propensity to phagocytose apoptotic cells (arrow), consistent with their immature phenotype. The bar represents 5µm.

Figure 3: Surface phenotype of esDC grown in GM-CSF and IL-3 assessed by flow cytometry. Filled histograms indicate levels of expression of CD44 (a), B7-1 (b), ICAM-1 (c), B7-2 (d), CD40 (e), CD11c (f) and class II MHC (g). Open histograms represent levels of background staining determined using irrelevant species- and isotype-matched control antibodies.

Figure 4: Immunostimulatory activity of esDC in the allogeneic MLR. esDC from the CBA/Ca ES cell line ESF116 were co-cultured with purified T cells from C57Bl/10 mice and the extent of proliferation was measured as a function of  $^3\text{H}$ -TdR uptake 5 days later.

Figure 5: (a) IL-2 secretion by the T cell hybridoma, 2G7.1, in response to HEL presented by live esDC (closed symbols) but not DC that had been fixed first in paraformaldehyde to prevent antigen uptake (open symbols). (b) Stimulation of the 2G7.1 hybridoma is inhibited by the addition of a mAb to class II MHC (filled bar) but not by the addition of an irrelevant species and isotype-matched control antibody (hatched bar).

Figure 6: A comparison of the immunostimulatory activity of myeloid (closed circles) and 'lymphoid' esDC (open circles).

Figure 7: A comparison of the antigen-processing activity of myeloid and lymphoid esDC. At the top dose of DC, the lymphoid population (hatched bar) are considerably less able to present antigen to the hybridoma than myeloid DC (filled bar), although both induce widespread cell death.

**CLAIMS**

1. A method for producing dendritic cells which method  
5 comprises:
  - i) providing a population of embryonic stem cells;
  - ii) culturing the embryonic stem cells in the presence of a cytokine or combination of cytokines which bring about differentiation of the embryonic stem cells into dendritic cells; and  
10 iii) recovering the dendritic cells from the culture.
2. The method according to claim 1, wherein the cytokine or combination of cytokines is or includes IL-3.
3. The method according to claim 2, wherein a combination of cytokines including IL-3 and GM-CSF is used.
- 15 4. The method according to any one of claims 1 to 3, wherein the embryonic stem cells in i) are in the form of embryoid bodies.
5. The method according to any one of claims 1 to 4, wherein the embryonic stem cells are genetically modified.
6. The method according to claim 5, wherein the embryonic  
20 stem cells are transfected with at least one gene which is expressed in the dendritic cells.
7. The method according to claim 6, wherein the gene is under the control of a promoter which initiates or upregulates gene expression on maturation of dendritic cells, such as the CD11c promoter.
- 25 8. The method according to claim 6 or claim 7, wherein the gene is a reporter gene which expresses a detectable product in the dendritic cells.
9. The method according to claim 8, wherein the gene encodes a fluorescent product.
- 30 10. The method according to claim 9, wherein the gene is the GFP gene.

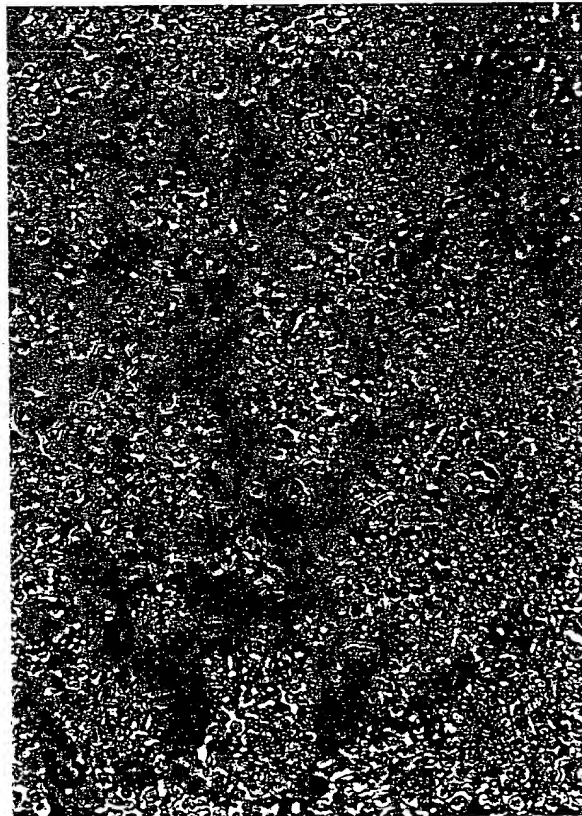
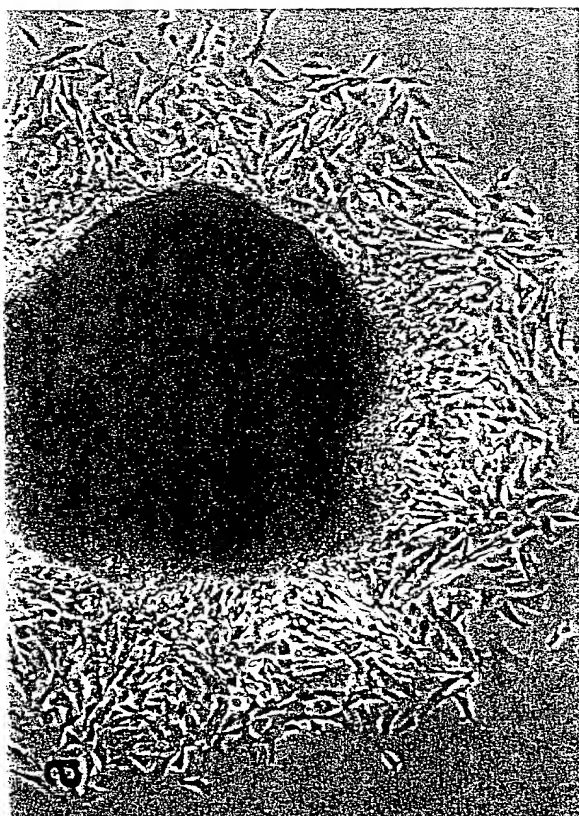
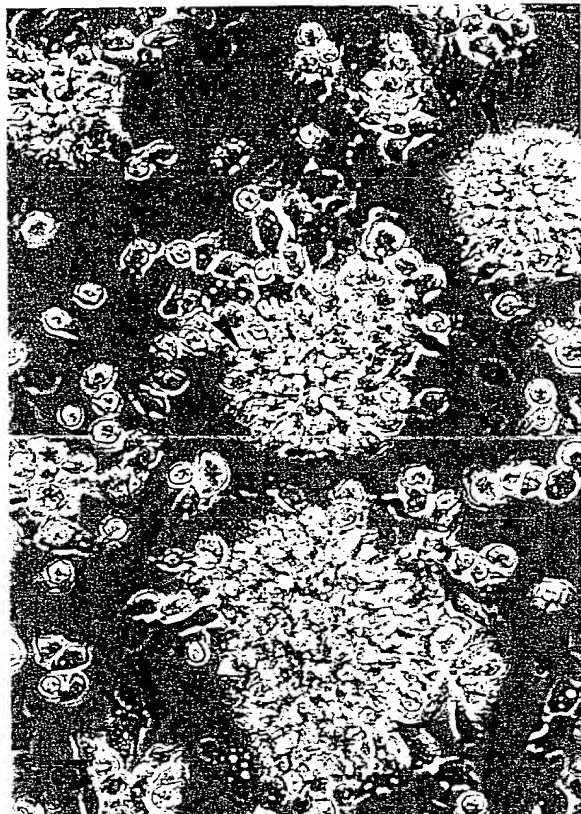
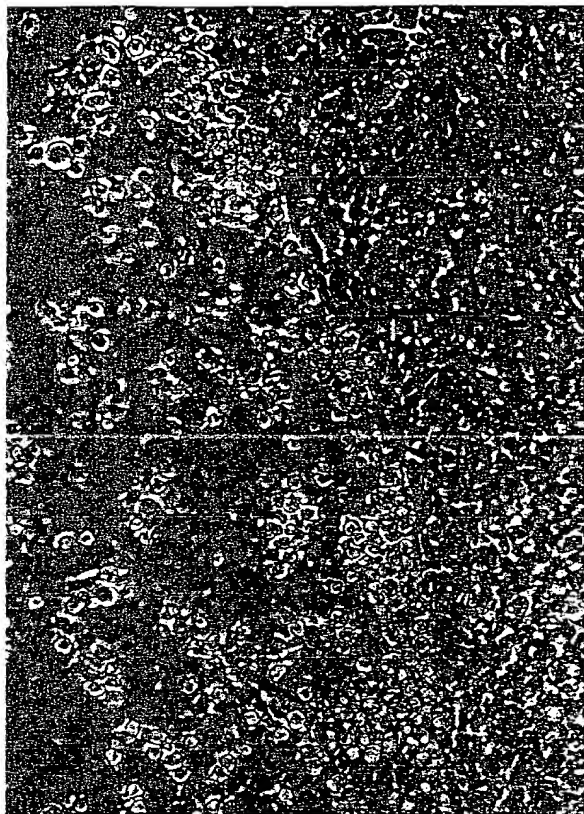


11. The method according to claim 6 or claim 7, wherein the gene expresses a protein which has an immunomodulatory effect.
12. The method according to claim 11, wherein the protein is a cell surface receptor.
- 5 13. The method according to claim 12, wherein the protein is Fas-ligand.
14. The method according to claim 11, wherein the gene expresses a dominant negative form of an endogenous protein.
15. The method according to claim 11, wherein the protein is an  
10 antigen target for the immune system, such as an autoantigen, a tumour antigen, or a foreign antigen for example a microbial antigen.
16. The method according to claim 5, wherein the ES cells are genetically modified so as to inactivate at least one copy of at least one gene, for example by homologous recombination or antisense technology.
- 15 17. The method according to claim 16, wherein the inactivated gene is a gene normally involved in dendritic cell function, such as a gene encoding the p35 or p40 subunit of IL-12.
18. The method according to any one of claims 1 to 17, wherein the ES cells contain a gene which functions to prolong the lifespan of  
20 dendritic cells, such as the gene encoding bcl-2 or FLIP.
19. The method according to any one of claims 1 to 18, wherein the recovered dendritic cells are substantially pure.
20. The method according to any one of claims 1 to 19, wherein the ES cells are derived from a mouse strain such as CBA/Ca or C57Bl/6.
- 25 21. The method according to claim 20, wherein the ES cells are from the ESF116 cell line.
22. Dendritic cells produced by the method according to any one of claims 1 to 21.
23. A population of embryonic stem cells for use in the method  
30 according to any one of claims 1 to 21.
24. A population of genetically modified embryonic stem cells in which a gene normally expressed in dendritic cells has been inactivated.

25. A population of genetically modified embryonic stem cells transfected with a nucleic acid comprising a promoter operably linked to a coding sequence, wherein the promoter initiates or upregulates expression of the coding sequence on maturation of dendritic cells.

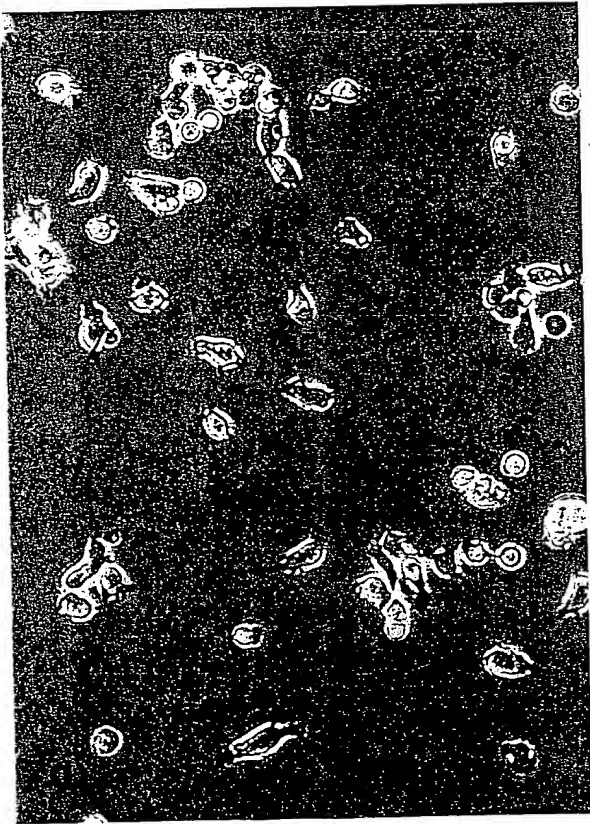
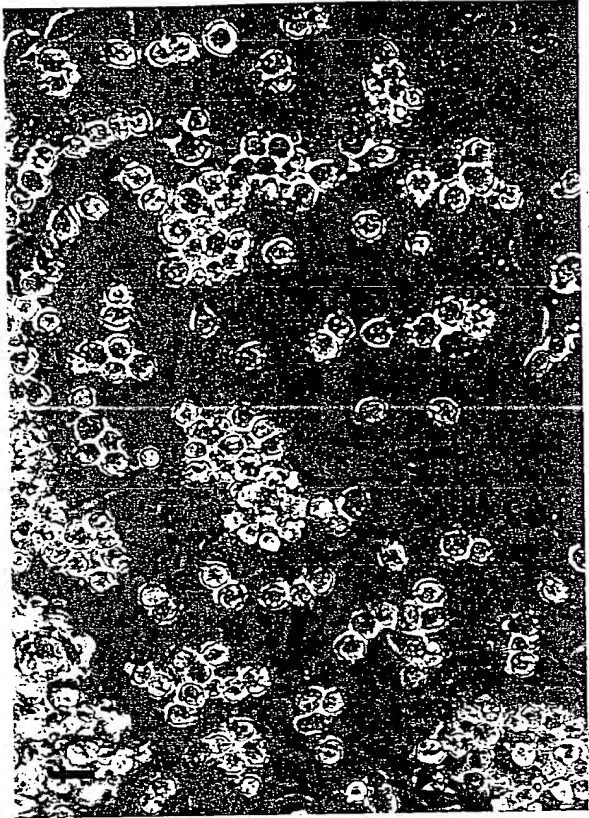
- 5 26. A method for investigating a mammalian gene, which method comprises generating a test population of dendritic cells from a population of embryonic stem cells and comparing the test dendritic cells to a population of control dendritic cells which differ from the test dendritic cells in respect of the gene.

Figure 1 (a - d)



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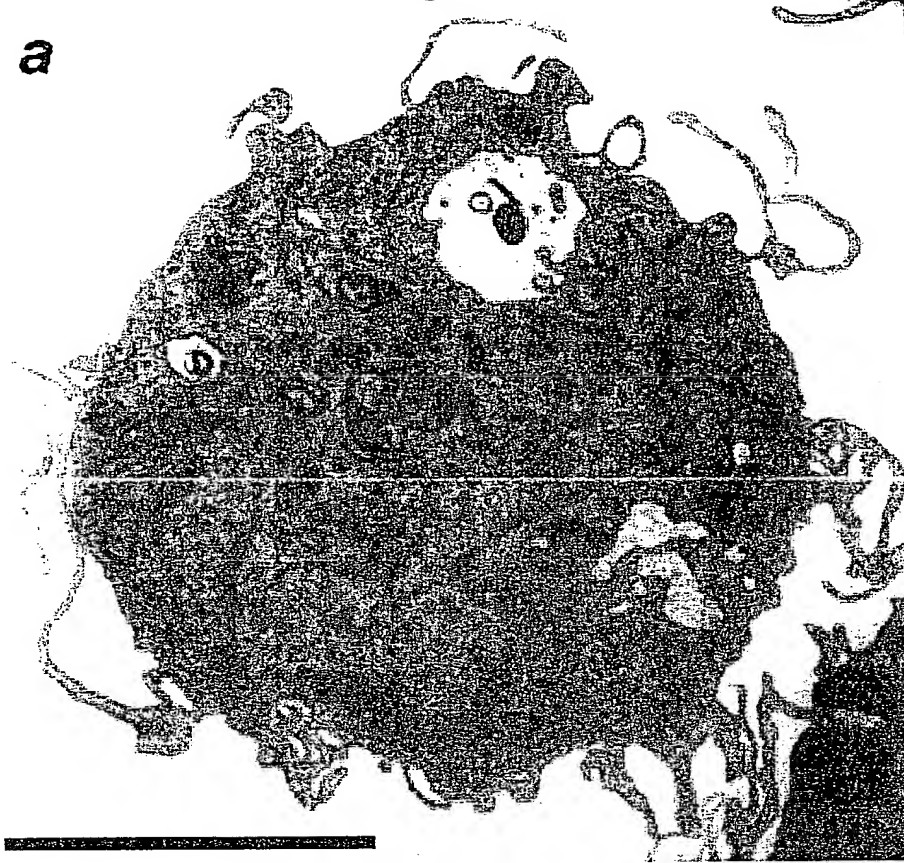
Figure 1 ( e - f )



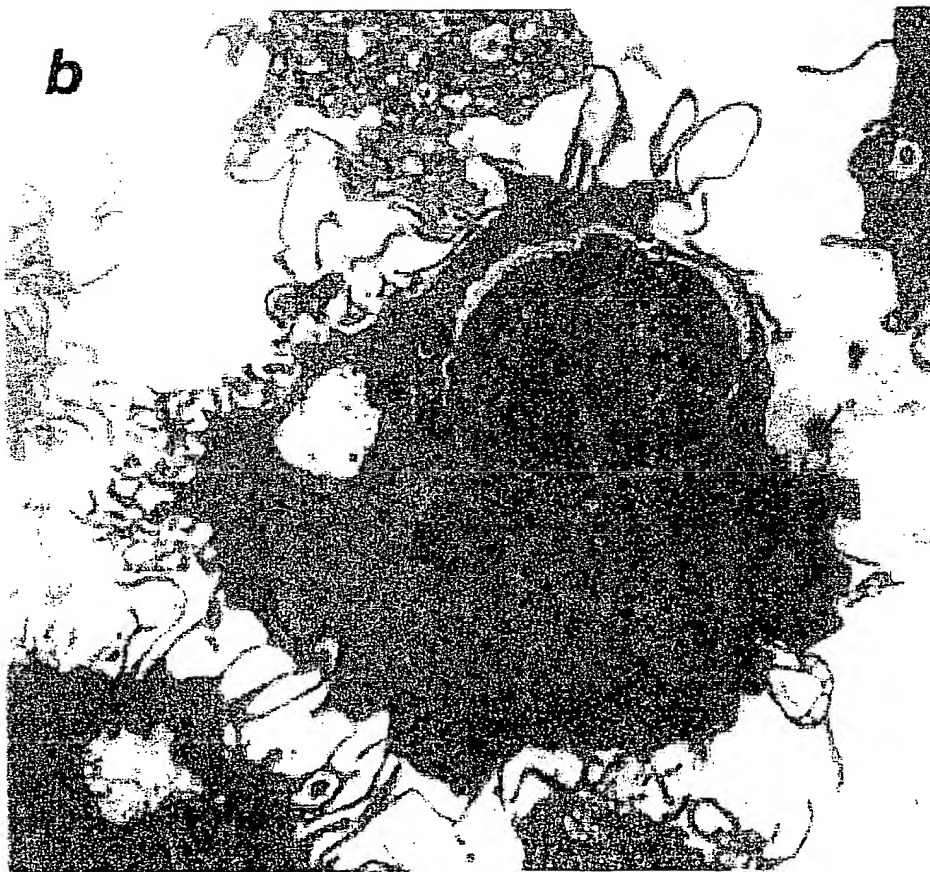
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Figure 2

**a**



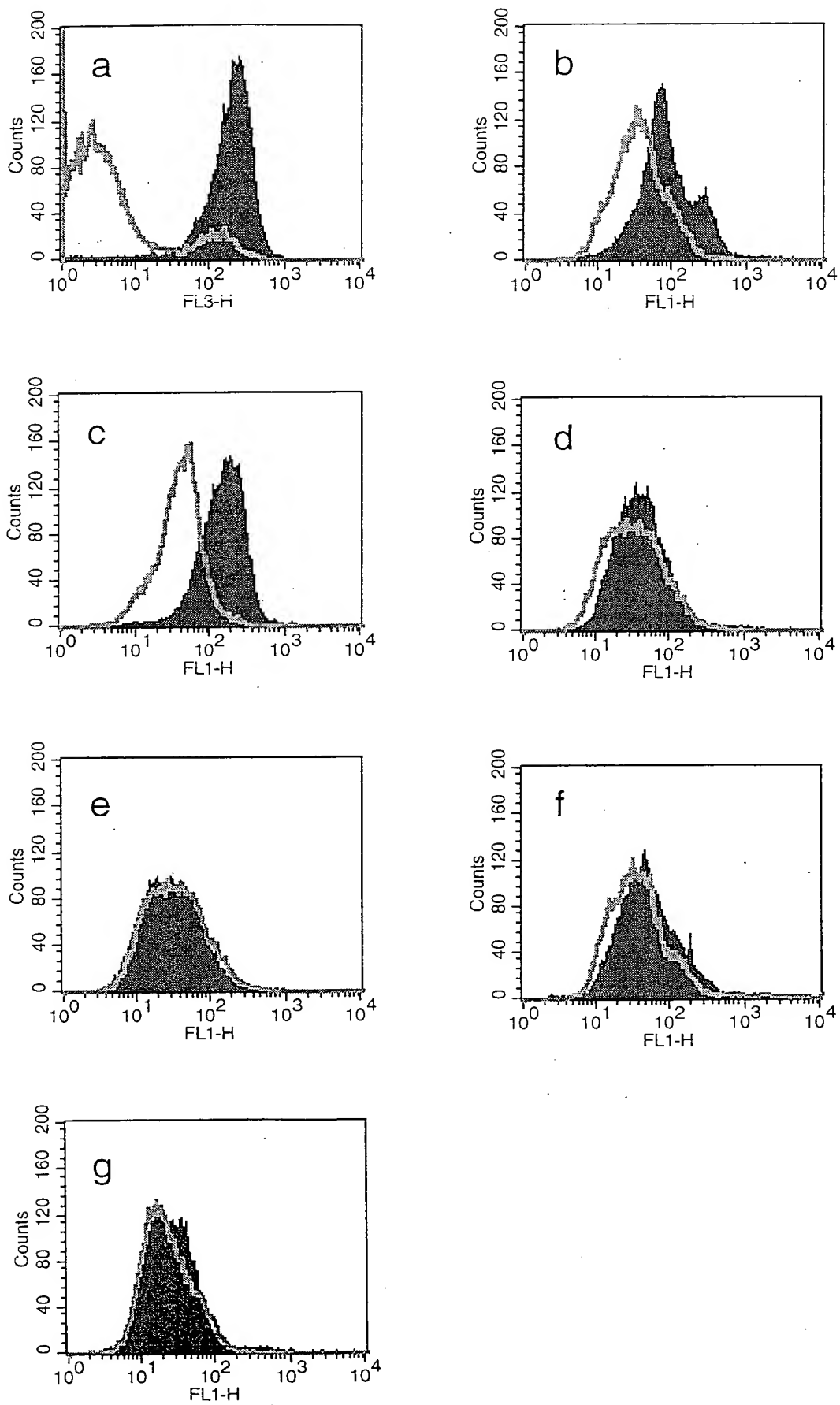
**b**



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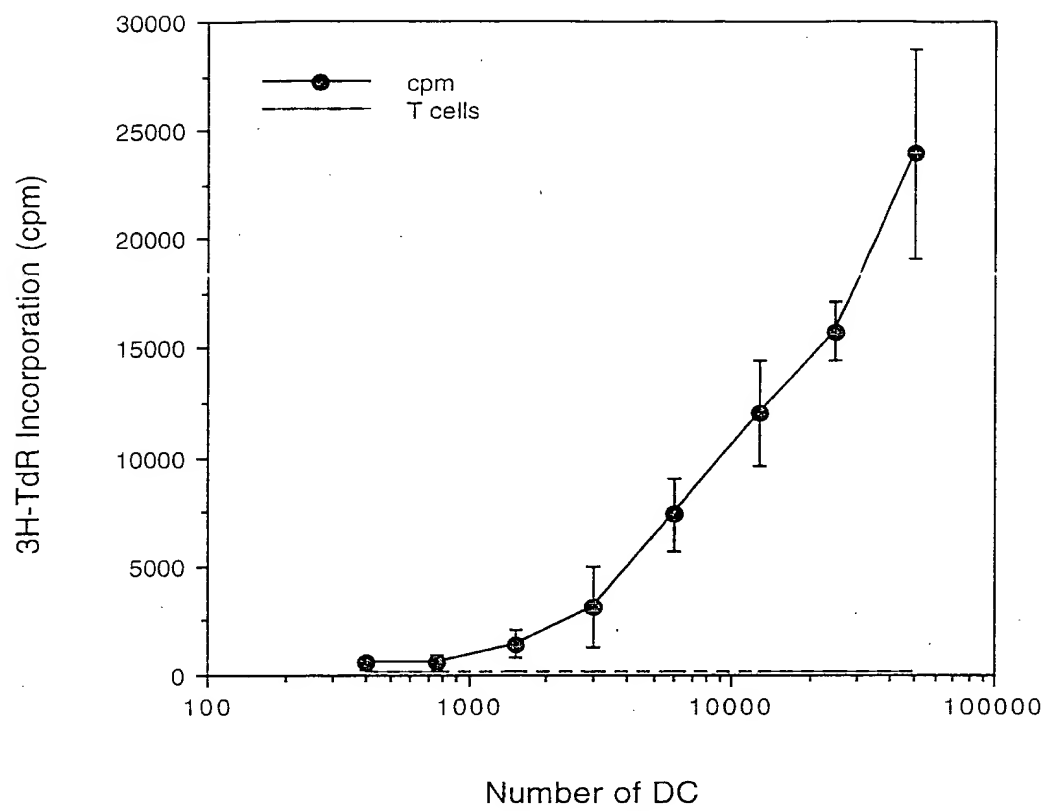


Fig 3



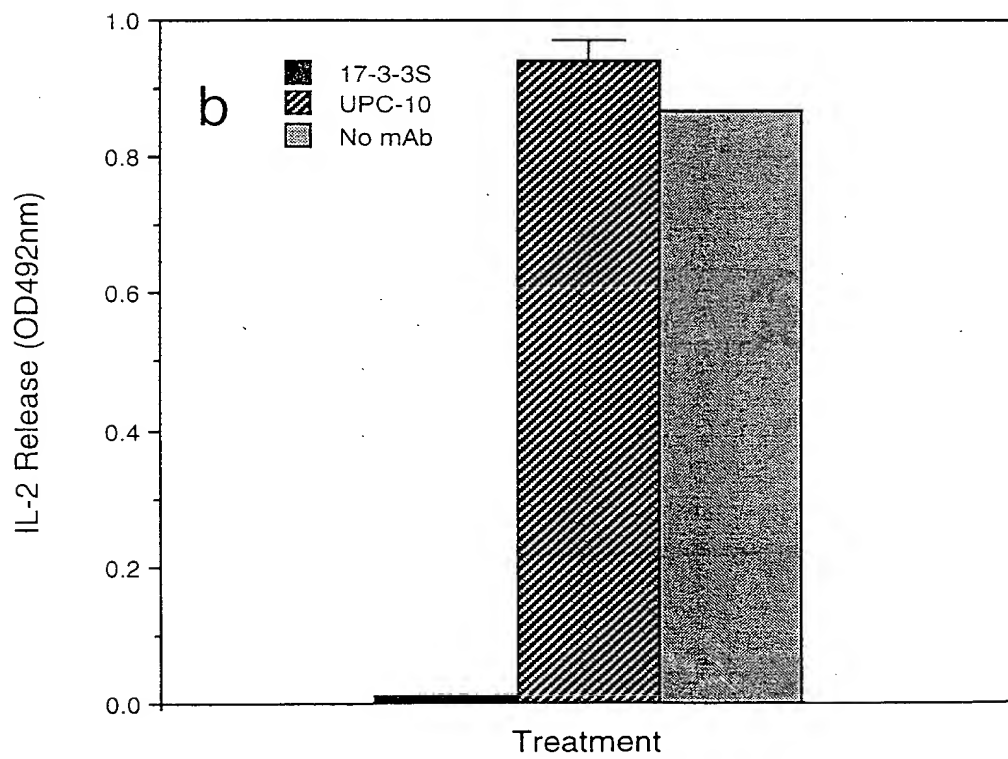
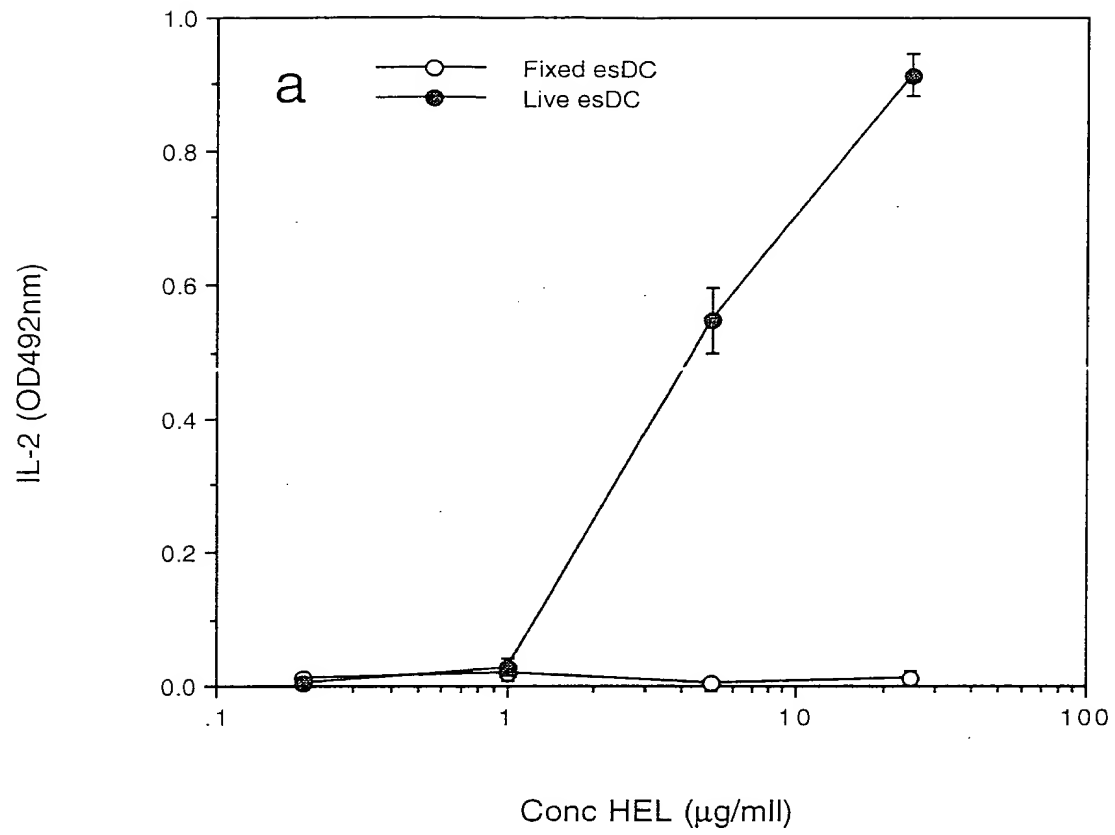
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Fig 4



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Fig 5



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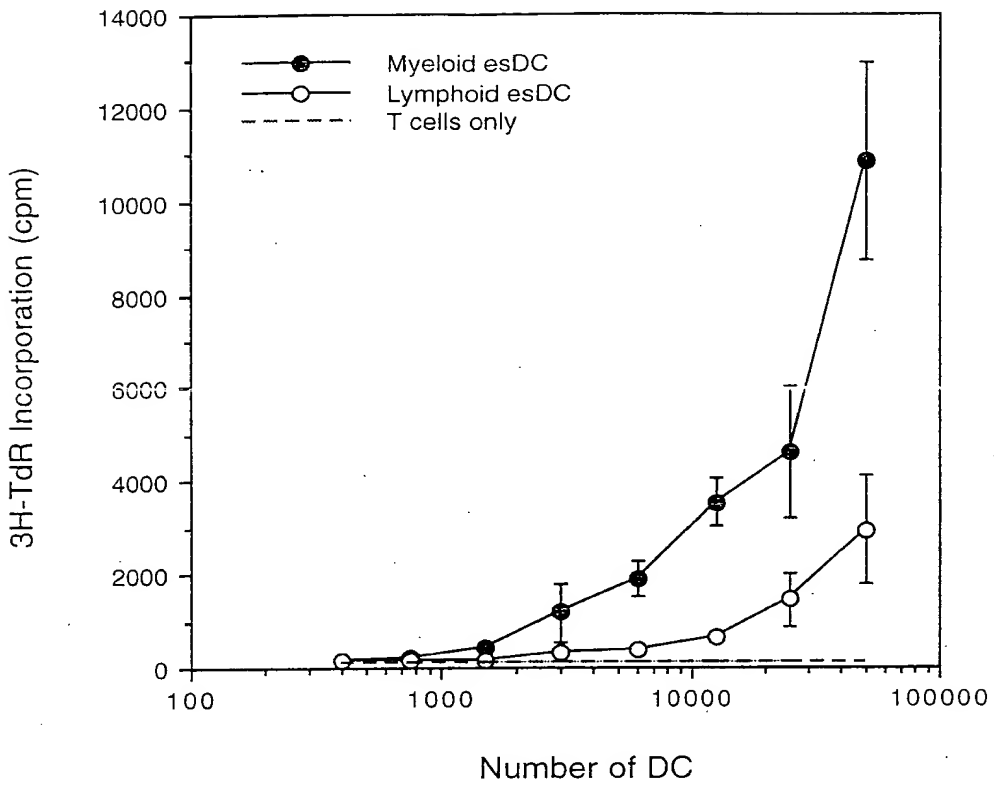
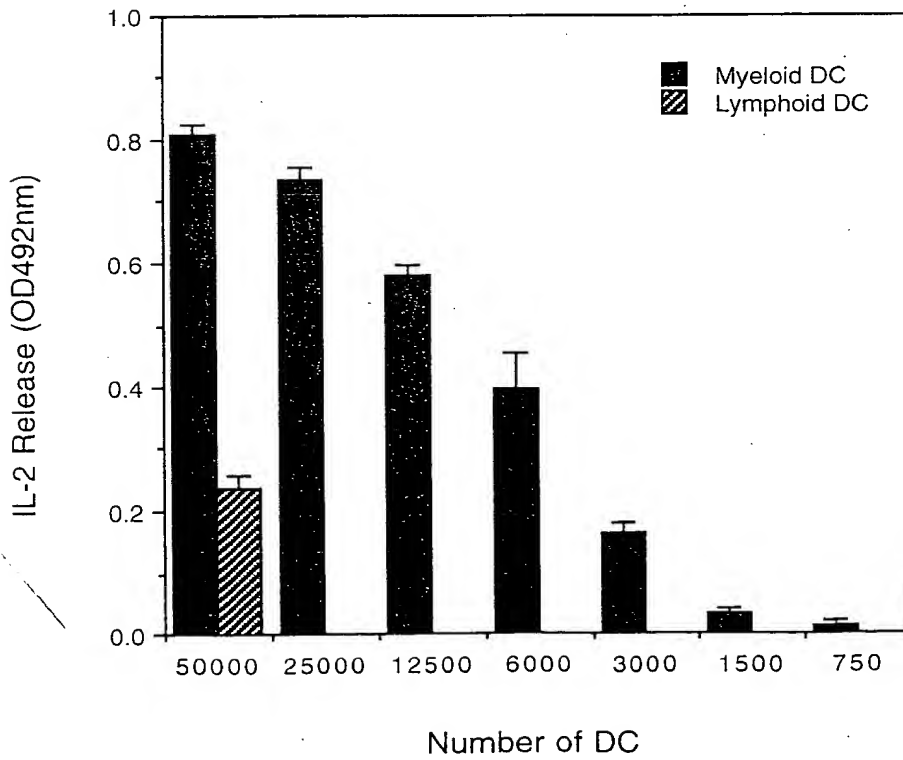


Fig 7



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